

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as ExpressMail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Henry A. Marzullo, Jr.

Dated: 9-27-01

Applicant hereby petitions that any and all extensions of the term necessary to render this response timely be granted. Costs for such extension(s) and or any other fee due with this paper, not fully covered by an enclosed check may be charged to Deposit Account #10-0100.

986 U.S. PTO
09/845073
04/27/01

Inventor: JOHN F. LUK

Serial No:

Filing Date: (FILED CONCURRENTLY HEREWITH)

Examiner:

Art Unit:

Title: DIODE LIGHTING SYSTEM

Docket No: P-20

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

It is respectfully requested that the art listed on the attached PTO Form-1449 be considered by the Patent Examiner in the above-entitled application and made of record therein by including same in the "references cited" portion of any patent issuing from this application. The documents noted therein are issued patents and/or publications, and thus are being brought to the Examiner's attention. Full text copies are enclosed or will follow as soon as possible once they are made available to the undersigned, and this submission is otherwise believed to be in

compliance with 37 CFR 1.56 and 1.97 - 1.98.

No representation is made or intended, that better art than that listed is not available, nor is a representation made or intended that any translations supplied are accurate or complete. Also, no representation is made or intended that the order of information listed is significant, or that a search has been made by the applicant.

None of the patents/publications, whether taken alone or together, are believed to disclose or suggest the subject invention as claimed. It is, therefore, believed that the Examiner will concur with applicant's belief that the subject matter presently claimed is neither anticipated nor rendered obvious by such patents and/or publications. However, as indicated initially in this statement, it is respectfully requested that the Examiner consider such information under 37 CFR 1.104.

Respectfully submitted,

LACKENBACH SIEGEL

By: 

HENRY A. MARZULLO, JR.
Reg. No. 20,910

HAM:k

Dated: 4/27/01

One Chase Road

Scarsdale, N.Y. 10583

(914) 723-4300

ref: altmanP-20\ids.ltr

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 8-83) PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. P-2	SERIAL NO.
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		APPLICANT: JOHN. F. LUK
		FILING DATE: GROUP:

1986 U.S. PTO
 09/845073
 04727701

U.S. PATENT DOCUMENTS

*EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,654,629	3/31/1987	Bozos, et al.			
	4,826,269	5/2/1989	Stripper, et al.			
	4,893,223	1/9/1990	Arnold			
	5,673,995	10/7/1997	Seguee			
	5,690,417	11/25/1997	Plodder, et al.			
	5,752,766	5/19/1998	BAILEY			
	5,838,247	11/17/1998	Bladowski			
	5,890,794	4/6/1999	Abate, et al.			
	6,033,087	3/7/2000	Shoos, et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	GELcoreLLC	V GE Lightng and Encore Corp.	The Essence of Light Order NO. 70852
	Nichia Cororation	Light Emitting Diode Product Guide,	Catalog No. 990610K
	LumiLeds Lilghting	JV Philips Lighting and Agilent Technologies	Press Release 2/9/2000
EXAMINER		DATE CONSIDERED	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

PLEASE ALSO CHARGE ANY FEE DUE FOR THE FILING OF THIS FORM TO DEPOSIT ACCOUNT NO. 10-0100